IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q82451

Ian David WORTHINGTON

National Stage Application of PCT/GB2003/000248 -filed January 22, 2003

Group Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: July 22, 2004

For: TRACHEOSTOMA VALVE

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith. U.S. Patent No. 5,059,208 is cited on page 3 of the subject application.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

10/502293

DT12 Rec'd PCT/PTO 2 2 JUL 2004

Q82451

INFORMATION DISCLOSURE STATEMENT

National Stage Application Based on PCT/GB2003/000248

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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: July 22, 2004

Registration No. 30,951

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MODIFIED PTO/SB/08 A & B (06-03)

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Substitute for Form 1449 A & B/PTO				National Stage Application			
					Based on PCT/GB2003/000248		
INFOR	MATION I	DISCLOS	SURE	Application Number	filed January 22, 2003		
STATE	EMENT BY	APPLIC	ANT	Confirmation Number	Not Yet Assigned		
23332				Filing Date	July 22, 2004		
(use o	as many sheets	as necessai	ry)	First Named Inventor	Ian David WORTHINGTON		
,	•		,	Art Unit	Not Yet Assigned		
				Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	Q82451		

U.S. PATENT DOCUMENTS							
Examiner Cit Initials* No	Cita	Document 1	Number	Publication Date MM-DD-YYYY			
	No.1	Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document		
		US 5,059,208		10-22-1991	Coe, et al.		

FOREIGN PATENT DOCUMENTS								
	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	T	
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
		WO	95/17138	A1	06-29-1995	Atos Medical AB		
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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Examiner Signature		Date Considered		
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.